#### Task 1. Sensitivity tests on traditional and non-traditional observation sources Task 4. Mitigation of GSI issues

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# Task 1. Sensitivity tests on traditional and non-traditional observation sources

Test at least 2 types from list:

- 1. GCOM-WI AMSR2 (microwave radiances) Lack GSI capability
- 2. NPP CRIS (IR radiances)
- 3. NOAA-16/17/19 SBUV/2 (Ozone)
- 4. METOP-A GOME-2 (Ozone)



## Functionally-similar testing environment



- Difference:
  - Background and boundary conditions
    - DTC GFS
    - Air Force UM
  - Updated system
    - ARW 3.6.1 (enable model top increase)
    - GSI v3.3 (2014)
- SBUV and GOME obtained from NCEP BUFR

# Model top test

#### **Experiments**:

- CTL10: control
  - Air Force operational configuration, except RRTMG used rather than RRTM/ Dudhia
  - 57 vertical sigma levels
  - 10 hPa model top
- CTL02:
  - Stratospheric lapse rate applied
  - 62 vertical levels
  - 2 hPa model top





### Model top test - GSI diagnostics



- Same channel selection for both configurations
- 2 hPa model top shows smaller bias

#### Model top test: Verification against ERA-I



Improvement from 2 hPa model top

- Overall improvement throughout T field
- Strong signal of improvement for longer lead times for zonal wind, upper and lower level meridional wind
- Mixed results for specific humidity



Statistically Significant (SS) pairwise differences (99%): Green shading: 2 hPa model top better Blue shading: 10 hPa model top better Developmental Testbed Center

# Observation sensitivity experiment design

- Testing period: 1-31 August 2014
- 48-h deterministic forecasts initialized at 00/12
- Experiments:
  - **CTL**: performed in each of individual testing domains, with same configuration as in CTL02, with all current AFWA conventional and radiance data assimilated
  - **SBUV**: with additional assimilation of Solar Backscatter Ultraviolet (SBUV/2; v8) profile ozone
    - NOAA 19
  - **GOME**: with additional assimilation of Global Ozone Monitoring Experiment (GOME-2) total ozone
    - Metop-a, Metop-b
  - CrIS: excluded CrIS data assimilation
- Verification against ERA-Interim (ERA-I) reanalysis using Model Evaluation Tools
  (MET)

# Caveats

- Two additional domains created to capture satellite overpasses
- O<sub>3</sub> not forecast variable in ARW
  - GFS ozone used for background
  - Indirect impact on analysis and forecasts





E. Pacific Domain – SBUV, CrIS

#### SBUV/2 Impact: verification against ERA-I



99% CI Statistical Significance Table: SBUV vs. CTL02 (EPAC)

- Temperature:
  - Positive impacts at upper- and mid-levels
  - Degradation at  $\sim 250$ hPa
- Winds:
  - Positive impacts particularly at early lead times
- Mixed results for specific humidity
  - Negative at lower levels

Green shading: SBUV better Blue shading: CTL better

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#### SBUV/2 Impact: verification against ERA-I



RMSE of temperature forecasts at 50 hPa and 500 hPa



#### SBUV ozone forecast impact

Temperature 12 hr forecasts @400hPa

SBUV-ERA



400 hPa Pairwise Temperature Difference



Red points: pairwise SS positive impacts from SBUV

0.16

0

-0.04

-0.1

-0.16

Generally cooling effects from SBUV assimilation



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0.47

0.3

0.13

-0.3

-0.47

0 -0.13

#### GOME-2 Impact: verification against ERA-I



99% CI Statistical Significance Table (RMSE): GOME vs. CTL02 (ATL)

- Fewer SS differences relative to SBUV experiments
- Mixed or overall neutral results



Green shading: GOME better Blue shading: CTL better

### Forecast Sensitivity to Observations (FSO)

- Observation sensitivity tests were conducted using the GSIbased FSO tool developed by NCAR MMM
  - WRF-ARW/ WRFPLUS v3.6.1
  - 4DVAR branch of GSI, based on GSI v3.2 (2013)
- Testing period: 4-13 August 2014, focus on impact of 12-h forecasts
- E. Pacific domain with same model & data assimilation system configurations, observations and radiance bias correction coefficients as data impact tests
- Impact determined using own analysis



# FSO: ozone impact



Forecast error reduction from ozone and radiance data

- Radiance data gives large total impact
- Ozone data impact per observation large

# **CrIS** impact

- Verification against ERA-I showed neutral impact
  - Overlapping with existing radiance data
- FSO shows slight positive impact in total





## CrIS impacts per channel



- Certain channels have negative impacts
- Diurnal changes for channel behaviors

# Summary (Task 1)

- Increasing model top from 10 hPa to 2 hPa presents overall improvement to analysis and forecasts
- Assimilating SBUV presents generally positive impacts
  - Improved T analysis for most levels
  - Wind improvements for short term forecasts (~18 h)
  - Cooling pattern from SBUV
- Assimilating GOME presents generally neutral (mixed) results
- Assimilating CrIS produces neutral impacts
  - Overlaps with other existing radiance data
  - Further study on channel selections recommended
- FSO shows potential for detailed observation impact studies
  - Timely update to the adjoint code is required

# Task 4. GSI mitigation for AFWA

- Sea level pressure (SLP) errors
- > CrIS data usage reduction



## **Reported SLP issues**

SLP is not an analysis variable, nor a forecast variable:

 Both DA and DA beyond (postprocessing) investigated

SLP derived from GSI analysis: RMSE=2.9, Bias=1.0

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Verification for GSI SLP "analysis" (Analy-obs) at 12Z 20131114

## Post-processing discrepancies

• AFWA delivered post-processing (PP) subroutines for SLP to DTC



#### 2 keys to reproducing problem:

- 1. Must use wrfout at analysis time (NOT wrfinput directly generated by GSI)
- First level pressure perturbation P' (0,:,:) needs to be used for surface pressure (Psfc) in MSLP computation – not dry air mass (MU) or Psfc directly from GSI analysis





# Wrfout and wrfinput files

• Why are there differences between the GSI analysis and the ARW analysis files?



wrfout (0) -wrfinput

- Inconsistency between GSI and ARW for P' field
  - WRFDA shows a consistent field (NOTE: GSI doesn't update P', therefore background)
  - Is the output from GSI different than ARW expects?

# GSI vs. WRFDA QVAPOR increments



#### **WRFDA**

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# GSI vs. WRFDA temperature increments

#### GSI

T increment (1<sup>st</sup> level)



#### WRFDA

T increment (1<sup>st</sup> level)



### GSI vs. WRFDA dry mass (MU) increments

MU increment



MU increment





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GSI

### WRFDA formulation

• WRFDA code includes da\_transfer\_xatowrf which computes  $\Delta\theta, \Delta P, \Delta\phi, \Delta\mu$ using  $\Delta T, \Delta P_s, \Delta q$  to initialize WRF model

Increments of mixing ratio water vapor at levels  $\eta_k$ :  $q'_k = \frac{qv'_k}{(1-qv_k)^2}$ 

Increments of dry air mass in column: 
$$\underline{\mu'} = \frac{p'_{sfc} - (\mu + \mu') \times \int_{0}^{1.0} q'_{k} \, d\eta w}{1 + \int_{0}^{1.0} q_{k} \, d\eta w} = -\frac{p'_{sfc} + (\mu + \mu') \times \int_{1.0}^{0} q'_{k} \, d\eta w}{\int_{1.0}^{0} (1 + q_{k}) \, d\eta w}$$

Increments of the pressure at levels  $\eta_k$  obtained from increments of Ps and wv mixing ratio:

 $p'_{\eta w_{-k}} = p'_{\eta w_{-k+1}} + \int_{\eta w_{-k}}^{\eta w_{+k+1}} \left\{ \underline{\mu'} \times (1+q_k) + (\bar{\mu}+\mu') \times q'_k \right\} d\eta w \quad k = kte, \dots, kts. \quad \text{where} \quad p'_{\eta w_{-k}te+1} = 0.0$ 

$$p'_{k} = \frac{p'_{\eta w + k + 1} + p'_{\eta w + k}}{2}$$

Increments of potential temperature at levels  $\eta_k$ :  $\theta_k = t_k \times \left(\frac{p_k}{p_{00}}\right)^{-\frac{\kappa}{c_p}}$   $\theta'_k = \theta_k \times \left(\frac{t'_k}{t_k} - \frac{R}{c_p}\frac{p'_k}{p_k}\right)$ 

Increments of geopotential height at levels  $\mathcal{W}_k$ :  $\varphi'_{k+1} = \varphi'_k - \int_{\eta w_k}^{\eta w_k + 1} \left(\frac{\mu'}{\rho_k} + (\mu + \mu') \times \frac{\rho'_k}{\rho_k^2}\right) d\eta w$ ,  $k = kts, \dots, kte$ .

Solve GSI has no such subroutine:  $\Delta T, \Delta P_s, \Delta q, \Delta \mu$  -> computes  $\theta$  from T, P<sub>s</sub> (bkgd)

# **WRF-ARW** initialization

#### • What happens between wrfinput and 00H wrfout?

Prognostic variables

$$\begin{array}{c} \partial_{t}U + (\nabla \cdot \mathbf{V}u) + \mu_{d}\alpha\partial_{x}p + (\alpha/\alpha_{d})\partial_{\eta}p\partial_{x}\phi = F_{U} \\ \partial_{t}V + (\nabla \cdot \mathbf{V}v) + \mu_{d}\alpha\partial_{y}p + (\alpha/\alpha_{d})\partial_{\eta}p\partial_{y}\phi = F_{V} \\ \partial_{t}V + (\nabla \cdot \mathbf{V}v) - g[(\alpha/\alpha_{d})\partial_{\eta}p - \mu_{d}] = F_{W} \\ \partial_{t}\Theta + (\nabla \cdot \mathbf{V}\theta) = F_{\Theta} \\ \partial_{t}\mu_{d} + (\nabla \cdot \mathbf{V}) = 0 \\ \partial_{t}\phi + \mu_{d}^{-1}[(\mathbf{V} \cdot \nabla\phi) - gW] = 0 \\ \partial_{t}Q_{m} + (\nabla \cdot \mathbf{V}q_{m}) = F_{Q_{m}} \\ \end{array}$$

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### What about RAP?

#### 2014061515 Partial cycle

1<sup>st</sup> Level P difference: wrfinput-wrfout(0)



### Rebalance formulation – P'

Perturbation of the pressure at level  $h_{kte}$ :

$$p'_{kte} = -\frac{1}{2} \int_{\eta w_{kte}}^{\eta w_{kte+1}} \left( \mu' + q'_{kte} \times \left( \mu' + \overline{\mu} \right) \right) d\eta w = -\frac{1}{2} \int_{\eta w_{kte}}^{\eta w_{kte+1}} \frac{\left( \mu' + \frac{q'_{kte}}{1 + q'_{kte}} \times \overline{\mu} \right)}{\left( \frac{1}{1 + q'_{kte}} \right)} \times \frac{1}{\left( \frac{1}{d\eta w} \right)}$$

WRF-real code

From the levels  $h_{kte-1}$  to  $h_{kts}$ ,

$$p'_{k} = p'_{k+1} - \int_{\eta_{k}}^{\eta_{k+1}} \left( \mu' + \frac{\left(q'_{k+1} + q'_{k}\right)}{2} \times \left(\mu' + \overline{\mu}\right) \right) d\eta = p'_{k+1} - \int_{\eta_{k}}^{\eta_{k+1}} \frac{\left(\mu' + \frac{0.5 \times \left(q'_{k+1} + q'_{k}\right)}{1 + 0.5 \times \left(q'_{k+1} + q'_{k}\right)} \times \overline{\mu}\right)}{\left(\frac{1}{1 + 0.5 \times \left(q'_{k+1} + q'_{k}\right)}\right)} \times \frac{1}{\left(\frac{1}{d\eta}\right)}$$

WRF-real code

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## Rebalance formulation – $\alpha$ , $\phi$

Perturbation of the specific volume at level  $h_k$ 

$$\alpha'_{k} = \frac{R}{p_{00}} \times \left(\theta'_{k} + \theta_{0}\right) \times \left(1 + \frac{R_{v}}{R_{d}}q'_{k}\right) \times \left(\frac{p'_{k} + p_{k}}{p_{00}}\right)^{-\frac{c_{v}}{c_{p}}} - \overline{\alpha}_{k}$$

Perturbation of the geopotential height at levels  $hw_{kts+1}$  to  $hw_{kte+1}$ :

$$\varphi'_{k} = \varphi'_{k-1} - \int_{\eta w_{k-1}}^{\eta w_{k}} \left( \left( \mu' + \overline{\mu} \right) \times \alpha'_{k-1} + \mu' \times \overline{\alpha}_{k-1} \right) d\eta w$$

- Rebalance applied to P, lpha ,  $\phi$
- T,  $\mu$ , q used to calculate P,  $\phi$ ,  $\alpha$

# Comparison of analysis, prognostic and diagnostic variables

- WRFDA uses increment fields
- Rebalance uses full fields
- Geopotential height:
  - Prognostic variable in WRF-ARW
  - No update from GSI

	WRFDA	GSI	Rebalance	WRF-ARW
Control/ Prognostic variables	ΔΤΔΡ <sub>s</sub> Δq	$\Delta T \Delta P_s$ $\Delta q \Delta \mu$	Τμq	φμθ
Computed/ diagnostic variables	ΔθΔΡ ΔφΔμ	$\Delta \theta$ (from $\Delta T$ )	Ραφ	αΡ

#### Apply rebalance to test case

1 cycle (2014080106) surface pressure change for each time step



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Note: rebalance tests use T8 domain.

### P' difference (wrfout(0)-wrfinput)





#### Forecast results: Temperature

100 150 200 GSI\_rebalance 250 Pressure Level (hPa) 300 GSI 400 NODA 500 700 850 925 1000 1.0 1.5 0.0 0.5 RMSE (K) T8 domain - 10 day test

Rebalance Test (v3.6.1) : 12 H Forecast Temperature









Mid-level temperature forecasts degraded by rebalance

#### Rebalance Test (v3.6.1) : 24 H Forecast Temperature

# Use of CrIS data

- Significant decrease in the CrIS data assimilated when using GSI v3.2 versus GSI v3.1
- Identified issues:
  - GSI v3.1: dval=1
  - GSI v3.2: dval=0 for CrIS (other radiance types dval=1)
  - dval: allows for relative weighting of different satellite radiance instruments in a thinning box
- Solution:
  - Set dval=0 to all radiance data types
    - no specific types are unequally weighted during the thinning process (therefore increasing the CrIS usage)
    - EMC plans to remove this namelist option so the default value will be set as 0

# Summary (Task 4)

- Key issues contributing to the SLP problem
  - Mismatch of GSI analysis variables and ARW prognostic and diagnostic variables
  - Mismatch of GSI analysis variables and SLP computation formulation in the post-processing procedure
- Rebalance of the diagnostic fields shows promise for improving SLP analysis field, but degrades some other forecast fields
  - Pushes analysis and forecasts closer to the background
- Recommendation:
  - Rebalance algorithm posterior to GSI when interfacing with ARW
  - Further study needed to determine how to perform rebalance (e.g, applied to increment fields or full fields) and to which fields it should be applied
  - Implement new GSI surface observation QC (FY2013)
  - Use dval=0 for all radiance data types